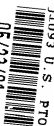


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Assistant Commissioner of Patents  
Box Patent Application-FEE  
Washington, DC 20231

Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s) : R. Michael Daley et al  
For SYSTEM AND METHOD FOR MANAGING INVESTMENT  
ACCOUNTS FOR A FINANCIAL INSTITUTION  
WHICH PROVIDES INVESTMENT ASSISTANCE  
TO INDIVIDUAL CLIENTS

## Enclosed are:

- [x] 2 sheet(s) of informal drawing(s), Figures 1-2.
- [x] Applicant claims small entity status under 37 C.F.R. 1.9 and 37 C.F.R. 1.27
- [x] The filing fee has been calculated as shown below:

## SMALL ENTITY

For:	No. Filed	No. Extra	Rate	Fee
Utility Fee				\$355
Total Claims:	16	0	x 9=	\$-0-
Independent Claims:	1	0	x 40=	\$-0-
<b>TOTAL:</b>				<b>\$355</b>

- [x] A check in the amount of \$355 is enclosed.
- [x] The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 07-1900. A duplicate copy of this sheet is enclosed.
- [x] Any additional filing fees required under 37 C.F.R. 1.16.
- [X] Any patent application processing fees under 37 C.F.R. 1.17.

Respectfully submitted,

JENSEN &amp; PUNTIGAM, P.S.

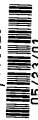
By *Clark A. Puntigam*  
Clark A. Puntigam #25,763

CAP:gh

Phone: (206)448-3200

Encls: Application, Claims, 2 Sheets Drawings,  
Declaration, Check, Postcard, Assignment & Check

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